

06/22/01
jc961 U.S. PTO

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket Number	20880-05629
	First Named Inventor	Kwok Hung Si
	Title	Universal Serializer/Deserializer
	Express Mail Label No.	EL566199152US

jc979 U.S. PTO
09/888212

06/22/01

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
3. <input checked="" type="checkbox"/> Specification <i>Total Pages</i> <input type="text" value="21"/> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"><input type="checkbox"/> Descriptive Title of the Invention<input type="checkbox"/> Cross Reference(s) to Related Case(s)<input type="checkbox"/> Statement Regarding Fed sponsored R & D<input type="checkbox"/> Background of the Invention<input type="checkbox"/> Brief Summary of the Invention<input type="checkbox"/> Brief Description of the Drawing(s)<input type="checkbox"/> Detailed Description<input type="checkbox"/> Claim or Claims<input type="checkbox"/> Abstract of the Disclosure	9. <input type="checkbox"/> Power of Attorney or Authorization of Agent
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. <i>Total Sheets</i> <input type="text" value="7"/> 113)	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
5. Oath or Declaration	11. <input type="checkbox"/> Preliminary Amendment
a. <input checked="" type="checkbox"/> New Declaration <i>Total Pages</i> <input type="text" value="3"/> <input type="checkbox"/> Executed (original or copy)	12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	13. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	14. <input checked="" type="checkbox"/> Return Postcard
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	15. <input type="checkbox"/>
	16. <input type="checkbox"/>
	17. <input type="checkbox"/>
ADDRESS TO: Box Patent Application Commissioner for Patents Washington, D.C. 20231	

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number and Bar Code
Label



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Name (Print/Type)	Geoffrey W. Lin	Registration No. (Attorney/Agent)	46,874
Signature		Date	June 22, 2001


20880/05556/DOCS/1184152.1

0002/PTO(modified) Rev. 10/2000	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
FEE TRANSMITTAL		Application Number	Not yet known
		Filing Date	June 22, 2001
		First Named Inventor	Kwok Hung Si
		Group Art Unit	Not yet known
		Examiner Name	Not yet known
TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 355.00)		Attorney Docket Number	20880-05629

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																				
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.† <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other FEE CALCULATION (fees effective 10/01/2000) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th colspan="4">1. FILING FEE</th> </tr> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> <tr> <td>101/\$710</td> <td>201/\$355</td> <td>Utility Filing</td> <td>355</td> </tr> <tr> <td>106/\$320</td> <td>206/\$160</td> <td>Design Filing</td> <td></td> </tr> <tr> <td>108/\$710</td> <td>208/\$355</td> <td>Reissue</td> <td></td> </tr> <tr> <td>114/\$150</td> <td>214/\$75</td> <td>Provisional Filing</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (1)</td> <td>(\$355.00)</td> </tr> </table>	1. FILING FEE				Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	101/\$710	201/\$355	Utility Filing	355	106/\$320	206/\$160	Design Filing		108/\$710	208/\$355	Reissue		114/\$150	214/\$75	Provisional Filing		SUBTOTAL (1)			(\$355.00)	3. 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* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Geoffrey W. Lin	Reg. Number	46,874
Signature		Date	June 22, 2001

20880/05629/DOCS/1184153.1